

# IM Matterley Investment Funds II

## OEIC Application Form



Please complete this form in ink using BLOCK CAPITALS and return the completed application form to:  
**IFDS Managers Ltd - Matterley**, PO Box 10818, Chelmsford, CM99 2BL.  
 If you are unsure about the suitability of this investment, please consult your financial advisor.

Matterley

### Personal Details - all Investors

Permanent residential address in full	
Postcode	Email
Tel No: Business	Home
Account designation* (if applicable)	
Registered name (if not an individual, e.g. company name)	
Existing account number (if applicable)	

### Agent's Stamp

To be completed by the Agent if appropriate. By placing your details here and by placing business with us, you are confirming that you have received, read, understood and are bound by our terms of business.

FSA Number:  
 Agency ref:  
 Discount %:  
 Commission payable %:  
 Advice not given  
 (Where this has not been ticked it will be assumed that advice has been given).

Media Code:

\* Accounts for minors (under 18 years of age) must be registered in the name of an adult(s) and designated in favour of the minor using their initials.

	Title	Surname	Forename(s)	Date of Birth
1				
2†				
3				
4				

† If the address of the joint holders is different to that of the first holder then we need to be advised on a separate sheet to be sent with this application form.  
 Corporate application - If you are investing on behalf of a company, please be aware that we will require two authorised signatures and a company stamp to proceed with your application.

### Investment Details

Please list the fund(s) you wish to invest in and the amount per fund.

Fund Name	Minimum Initial Investment	Share Class and Type	Sterling Lump Sum	Regular Monthly Savings** (minimum of £100 per month £50 per fund)
IM Matterley Undervalued Assets Fund	£1,000	A Class Income	£	£
		A Class Accumulation	£	£
	£100,000	B Class Income	£	N/A
		B Class Accumulation	£	N/A

\*\* collection date for monthly savings is the 10th of the month or the next working day if the 10th is a non working day.



## Income Payments (Income Shares only)

Please complete the Income payment section below if you wish the income arising from your Income Shares to be paid directly into your bank or building society account. If you leave this section blank Income will be paid by cheque.

## Bank Account Details

Bank or Building Society\*

Branch Title

Name of Account Holder

Sort Code

Account Number

Building Society Roll Number  
(if applicable)

\* Please note that banks and building societies may not accept Direct Credits to some types of accounts. Due to money laundering regulations, your bank account must be the same as the one your initial investment is paid from.

## Regular Withdrawal Facility (Accumulation Units only)

The Regular Withdrawal Facility is only available on lump sum investments into accumulation shares, with a minimum qualifying investment of £10,000. The facility is not available if you are investing new money by direct debit in a savings scheme on a monthly basis.

If you qualify for the Regular Withdrawal Facility and wish to make a regular withdrawal, please indicate below the amount or percentage which you wish to withdraw subject to the minimums as stated.

I wish my regular withdrawal to be taken (please tick one box)

Monthly

Quarterly

I wish to receive my first regular withdrawal payment on the following month (if you do not include the month your first withdrawal will take place at the next available payment date)

Month

Year

I wish to withdraw the following amount per fund each year which will be paid in instalments as indicated above. Please write in a percentage or £ amount in the boxes after reviewing the notes below on the withdrawal limits.

Fund Name

£ or % Amount

IM Matterley Undervalued Assets Fund

£

or

%

## Minimum Withdrawals

- The minimum withdrawal per fund per year is £300 or 3%.

Withdrawals will be paid on 6th of the month or the previous working day if the 6th is not a working day.

**Please note that if you withdraw more money than the underlying growth rate of your investment then your original capital will be eroded.** Please note that a minimum of nine working days is required to set up this facility.

## Bank Account Details

Bank or Building Society†

Branch Title

Name of Account Holder

Sort Code

Account Number

† Please note that banks and building societies may not accept Direct Credits to some types of accounts. Due to money laundering regulations, your bank account must be the same as the one your initial investment is paid from.

## Application Checklist

IMPORTANT – Please read the following since incomplete applications may have to be returned.

1. Have you completed in full the 'Personal Details' section?
2. If there is more than one holder, have their personal details been supplied on a separate sheet?
3. Have you stated the amount you wish to invest per fund?
4. Have all holders signed and dated the application form or the separate sheet?
5. If you wish to make monthly savings, have you completed the 'Direct Debit' form? Please note a cheque, payable to **IFDS Managers Ltd**, for your first monthly investment should be enclosed.
6. Have you enclosed your cheque made payable to **IFDS Managers Ltd**?

Please do not use staples.

**Please return your completed application form and cheque to:**  
**IFDS Managers Ltd - Matterley, PO Box 10818, Chelmsford, CM99 2BL.**

If you have any questions regarding the completion of this form please contact your financial adviser or the ACD on **0844 931 0031.**

## Declaration

- I/we apply to IFDS Managers Limited to invest in IM Matterley Investment Funds II.
- I/we declare that this application form has been completed to the best of my/our knowledge and belief.
- I/we enclose a cheque made payable to IFDS Managers Limited for the lump sum amount to be invested and/or the first monthly payment and confirm that all these monies are my/our own and that I/we are the beneficial owner(s) of this investment<sup>\*\*\*</sup>.
- I/we understand that you may require additional information from me/us in accordance with the money laundering regulations and I/we acknowledge that electronic data sources may be used to check my/our identity under the money laundering regulations.
- I/we have read, understood and retained a copy of the Simplified Prospectus.

### Declarations regarding status for U.S. tax purposes:

#### • Individuals

- I confirm that I am not a U.S. citizen, a green card holder or resident in the U.S. for tax purposes;
- I will notify IFDS Managers Limited immediately if this position changes.
- I consent to my shares being compulsorily redeemed in accordance with the Prospectus in the event that this statement is not correct or becomes incorrect in the future.

#### • Corporates

We confirm that:

- We are not a U.S. corporation;
- We do not have any substantial U.S. owners;
- We are not a “foreign financial institution” and we are excepted from the definition of “non financial foreign entity”; and
- We are a “qualifying foreign financial institution” or a “simplified compliance distributor” and do not and will not in the future hold an interest in the fund on behalf of any U.S. person or with any substantial U.S. owners,

in each case within the meaning of the Hiring Incentives to Restore Employment Act 2010 (U.S. Law).

- We will notify IFDS Managers Limited immediately if this position changes.
- We consent to our shares being compulsorily redeemed in accordance with the Prospectus in the event that these statements are not correct or become incorrect in the future.

Please contact us if you have any queries regarding your U.S. status.

**Signature**

**Date**


We will hold any personal information provided to us in confidence and in accordance with data protection law. We and other IFDS group companies may use your data and/or share your data with others identified in, and for the purposes described in, our Simplified Prospectus under the heading ‘Personal Data’. Please tick this box if you do not wish to receive marketing communications by mail or telephone.

<sup>\*\*\*</sup> if you are not the beneficial owner(s) please delete the relevant wording in the declaration, and complete the box(es) below with details of who the beneficial owner(s) is/are and also advise your relationship to such person(s). For corporate applicants please give details of any shareholders or other individuals who ultimately control more than 25% of the voting rights or are entitled to more than 25% of the company's profits.

Name of Beneficial Owner

Name of Beneficial Owner

Name of Beneficial Owner





